

**Amendments and Clarifications to the RFP for Conduct of IS Audit / VAPT on adhoc basis (Bid Ref No.: IB/I&ARFP/01/2023-24 date 08.09.2023)**

**ADDENDUM-I dated 22/09/2023**

RFP Ref.	Existing Clause	Revised Clause
Para 6 (Pg.9) <b>SCHEDULE [B] GLOSSARY OF TERMS</b>	Selected bidder and the Bank shall be individually referred to as “party” and collectively as “parties”. The terms, Successful bidder and the Bank are also referred as Supplier/ Service provider and Purchaser respectively.	Selected bidder and the Bank shall be individually referred to as “party” and collectively as “parties”. The terms, Successful bidder and the Bank are also referred as Supplier/Service provider/IS Auditor and Purchaser respectively.
Page 7 Clause 10 Bid Security	Bid Security (EMD) for Rs.10.00 lakhs to be submitted	Bid Security (EMD) for Rs.5.00 lakhs to be submitted
Page41, Performance Security	Within 15 days of issue of Work Order, the successful bidder shall furnish to the Bank the Performance Security equivalent to Rs.20,00,000/- (Rupees Twenty lakhs),	Within 15 days of issue of Work Order, the successful bidder shall furnish to the Bank the Performance Security equivalent to Rs.12,00,000/- (Rupees Twelve lakhs)
Page 23 and Page 74 Eligibility Criteria	The applicant should have been included in the latest panel of Information Systems Auditors maintained by Computer Emergency & Response Team, India [CERT-IN] as on date of RFP.	The applicant should have been included in the latest panel of Information Systems Auditors maintained by Computer Emergency & Response Team, India [CERT-IN] as on the last date for submission of bid.
Page 26 9.2 General Evaluation Criteria	e) IS Auditors who have conducted IS audit/VAPT of Indian Bank in the last 2 years will not be considered for respective area of operations in line with RBI guidelines on rotation of auditors. However, there is no bar for existing IS Auditors to participate in this RFP process.	e) IS Auditors who have conducted IS audit/VAPT of Indian Bank in the last 2 years will not be considered for respective area of operations in line with RBI guidelines on rotation of auditors. There is no bar for existing IS Auditors of the Bank to participate in this RFP process. However, bank’s decision on entrusting the adhoc audit assignments will be subject to RBI guidelines on rotation of auditors.
Annexure II Self-Declaration / Fair Practices Code Undertaking	Furnished as Annexure II	Annexure II revised and updated in line with RFP terms and the same is attached.



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**ADDENDUM-I dated 22/09/2023**  
**Revised ANNEXURE-II**

**Declaration / Fair Practices Code Undertaking**

*(To be submitted on the letter head of the bidder signed by Director/Partner)*

To  
The Deputy General Manager  
Indian Bank  
Head Office, I floor,  
Inspection & Audit Department,  
No.66 Rajaji Salai, Chennai – 600001

Date:

Dear Sir,

**Sub: Request for Proposal for Conduct of IS Audit / VAPT on adhoc basis**

**Ref: Your RFP No. IB/I&A/RFP/01/2023-24 date 08.09.2023**

We, hereby declare/undertake as under:

We, \_\_\_\_\_ (The applicant) or our promoters/ directors/ partners or sister / group concerns are not involved in any legal case that may affect our solvency / existence or in any other way affect the applicant's capability to provide / continue the services to the Bank.

We are not not involved in any litigation / arbitration proceeding relating to performance of any contract undertaken by us.

We have not been blacklisted nor have been technically disqualified on the grounds of non-performance of contract, by any Government Department / Statutory Body / Regulatory Agency / Public Sector Undertaking / Public Sector Bank / Financial Institution in India.

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The name of our company/LLP/firm or its promoter/partner etc. is not in the defaulter/barred/caution list published/ displayed at web sites of public/ Autonomous bodies such as RBI/ IBA/ ECGC/SEBI/ICAI, etc.

We further declare and confirm that our company/LLP/firm or its sister concern have not been involved in any unlawful activity as per the laws of the land.

None of the Partners/ Directors of our firm/LLP / company is a member of the Bank's Board.

We/our sister concerns have not undertaken statutory audit of the Bank presently or in the last one year as on 31.03.2023.

We/our sister concerns have not undertaken / presently undertaking any other assignment of the Bank, which will be have potential conflicts of interest with the proposed IS Audit assignment/s of the Bank.

We undertake that, in competing for and, if the award is made to us, in executing the above contract, we will strictly observe the laws against fraud and corruption in force in India.

We confirm that Background Verification of our employees and Documentation Verification for their qualifications / validity of their professional certifications, has been conducted prior to their employment with us. We note to provide documentary evidence of the qualifications or professional certifications obtained by the personnel, as and when required by the Bank.

We note to provide the details of renewed certifications, whenever any professional qualification obtained by the Personnel lapses.

We also note to inform the bank promptly in writing, if any of the Key Personnel involved in the audit of the Bank leave the organisation.

We confirm that we are having resources possessing sufficient domain and technical knowledge in respect of security audit of banking applications including Core Banking and Mobile Banking applications and latest emerging technologies in BFSI Sector like cloud / containerized environment / virtualization / Software Defined Data Network (SDDN), DevOps and automation techniques, etc.



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As and when any assignment is entrusted, we shall ensure that the Security Audit and IS Audit work is got done by qualified Professionals having requisite expertise.

We note to certify that the personnel who are going to conduct the audit are on our rolls and we note to mention the length of their service with us.

We undertake not to deploy any professional, who was in the services of the Bank in the last 36 months prior to the date of accepting any audit assignment from the Bank.

In respect of past Work Experience declared by us, we confirm that the audit assignments have been undertaken by deploying qualified professionals who are permanent employees of our Audit Organization without subcontracting the assignment.

We understand that we are bound by the confidentiality agreement / NDA to be signed by our organization, in case we are empanelled and we shall ensure removal of any data/ information of the bank from our systems / hard discs / mails after the completion of the audit period and provide confirmation immediately after removal of the same. During the period of empanelment, we shall not share any confidential information through personal email IDs / cloud storage.

We undertake to intimate the Bank immediately about any change/development in our organisation relating to the requirements of this RFP, including but not limited to change in constitution, professional certifications and availability of professional resources.

**Signature of Authorized Official**

**Name and Designation with Office Seal**



Inspection & Audit Department, Head Office,  
No.66 Rajaji Salai, Chennai 600 001

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**Place:**

**Date:**

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**ADDENDUM-I dated 22/09/2023**  
**Bidders' Queries and Bank's Responses**

SN	Reference	Description	Query details	Bank Reply
1	Pg.No 30 Para No A.	VAPT, Application Security Audit & Source Code Review:	Configuration review for all Infrastrcutre or sampling basis	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite

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				and should be followed by Compliance Verification Audit as detailed in RFP.
2	Pg.No 30 Para No A.	VAPT, Application Security Audit & Source Code Review:	VAPT-Cloud Containarized servers are included in the list	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
3	Pg.No 30 Para No 2. Scope of Audit	Vulnerability Assessment (VA) / Operating System (OS) OS Hardening Review / Configuration Review of servers / Databases / network & security devices of the Bank on adhoc basis.	1.Kinldy let us know how types of OS you have currently in environment 2.Please confirm the number of IP's factored for Infra Scanning and the frequency to be scanned.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project



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4	Pg.No 30 Para No 2. Scope of Audit	Application Programming Interfaces (API) Security Assessment on adhoc basis.	<ol style="list-style-type: none"> <li>1. kindly confirm the frequency of API testing.</li> <li>2.Please share the types of Applications available in environment.</li> <li>3.What is the various types of Applications framework used build this API.</li> </ol>	<p>During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope &amp; coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by</p>

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				Compliance Verification Audit as detailed in RFP.
5	Pg.No 30 Para No 2. Scope of Audit	Web Application Security Testing / Vulnerability Assessment (VA) / Penetration Testing (PT) of internal web applications on adhoc basis.	1. Please share the Application stack.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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6	Pg.No 30 Para No 2. Scope of Audit	Web Application Security Testing / Vulnerability Assessment (VA) / Penetration Testing (PT) of public facing web applications on adhoc basis.	1. Please share the Application stack.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project

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SN	Reference	Description	Query details	Bank Reply
7	Pg.No 30 Para No 2. Scope of Audit	Web Application Security Testing / Vulnerability Assessment (VA) / Penetration Testing (PT) of Mobile applications on adhoc basis.	1.What is the various types of Mobile application framework used build this API.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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				Compliance Verification Audit as detailed in RFP.
8	Pg.No 29 Para No 1	Overview of Scope	Q1) What is the updation frequency of policies/procedures defined by the bank to be in compliance with regulatory authorities?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
9	Pg.No 29 Para No 1	Overview of Scope	Q2) What is the type of architecture present - on-prem or hybrid?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project



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				<p>specific Audit scope &amp; coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.</p>

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10	Pg.No 29 Para No 1	Overview of Scope	Q3) Are there any ongoing or planned changes, upgrades, or migrations in hardware, software, or business applications?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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				Compliance Verification Audit as detailed in RFP.
11	Pg.No 30 Para No 2	Scope of Audit	Q1) For Mobile testing, scope would be for IOS or android or both?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
12	Pg.No 30 Para No 2	Scope of Audit	Q3) What are the different types of operating systems currently in use across your servers ?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project

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SN	Reference	Description	Query details	Bank Reply
13	Pg.No 30 Para No 3	Detailed Scope of Audit, as applicable for the audit assignment entrusted (Common)	Q3) Which type of cloud is deployed in network i.e. Private or public or enterprise?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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				Compliance Verification Audit as detailed in RFP.
14	Pg.No 30 Para No 3	Detailed Scope of Audit, as applicable for the audit assignment entrusted (Common)	Q6) Please provide details about legacy systems (if applicable) in use	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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15	Pg.No 30 Para No 3	Detailed Scope of Audit, as applicable for the audit assignment entrusted (Common)	Q7) Please provide details on the outsourced activities related to your IT infrastructure or applications.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project



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16	Pg.No 30 Para No 3	Detailed Scope of Audit, as applicable for the audit assignment entrusted (Audit Specific)	Q9) Please provide details in terms of a) location (city, state,..) b) type (vendor, OEM,..) c) number (quantity) d) criticality of devices mapped to the locations, type and number of network devices, servers, workstations, applications, and any other devices to be considered under IS audit scope	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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17	Pg.No 30 Para No 3	Detailed Scope of Audit, as applicable for the audit assignment entrusted (Audit Specific)	Q10) Please provide details of third parties managing these devices (asked under Q9) and the locations they sit out of.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.
18	Pg.No 31 Para No 3	iii) VAPT should cover the web application and its components including Web server, App server, DB Server, Thick client, Thin clients, Mobile applications, Networking systems, Security devices, load balancers, integration with other applications and APIs, etc.	Can you provide a list of the web application components and technologies used within your environment?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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SN	Reference	Description	Query details	Bank Reply
				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.

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SN	Reference	Description	Query details	Bank Reply
19	Pg.No 30 Para No 3	Detailed Scope of Audit, as applicable for the audit assignment entrusted (Audit Specific)	Q12) While travel for bid query clarifications is to be borne by the prospective bidder; is the expectation that travel costs related to the IS Audit shall be over and above on actuals and reasonable for the audit OR should this be part of the proposal? If this has to be part of the proposal, Q9,Q10, Q11 is required to be answered.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.
20	Pg.No 35 Para No 5	Deliverables	Q2) Please specify frequency for IS audit to be conducted?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project



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SN	Reference	Description	Query details	Bank Reply
				<p>specific Audit scope &amp; coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.</p>

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SN	Reference	Description	Query details	Bank Reply
21	Pg.No 92 Para No 4	Scope of Audit	Is it remote or onsite engagement .	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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				Compliance Verification Audit as detailed in RFP.

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22	Pg.No 30 Para No 1 to IX	3. Detailed Scope of Audit, as applicable for the audit assignment entrusted	<p>1. Count of Vulnerability Assessment &amp; Penetration Testing of all public facing web applications and mobile applications, including third party applications, which are interfacing with Bank's internal information, including Bank's website/intranet which should be done quarterly basis is required</p> <p>1. Count of Vulnerability Assessment &amp; Penetration Testing of all Applications, including third party applications, [other than those covered in (a) above], which should be done on half-yearly basis is required</p> <p>2. Mobile application are developed on which platform (ios/Android)</p> <p>3. Mobile application developed on each platform is considered as 1 app, kindly confirm.</p> <p>4. Total number of methods used in API</p> <p>5. Do we have to perform grey box Testing(With credentials) for Web application(External/Internal)</p> <p>6. Source code: Total Line of code for each application</p> <p>7. Size of Application is required: 0-150 input fields: Small 150-500 input fields: Medium 500 above input fields : Large</p> <p>1. Mobile Application: Total Number of screens required.</p> <p>2. Total Number of locations to be covered in Network Architecture</p> <p>3. Are All devices accessible from 1 centralized location.</p> <p>4. Location of Audit</p> <p>5. Total number of servers/database server/network and security devices</p>	<p>During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope &amp; coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.</p>
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SN	Reference	Description	Query details	Bank Reply
23	Pg.No 30 Para No X	IT General Security Controls audit / Process audit / Application Security Audit	<ol style="list-style-type: none"> <li>1. What is the total count of the applications to be covered under IT General Security Controls audit, Process audit and Application Security audit.</li> <li>2. What would be the location for the IT General Security Controls audit, Process audit and Application Security audit.</li> <li>3. What is the location of Data Centre, NDR and Disaster Recovery Site.</li> </ol>	<p>During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope &amp; coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by</p>

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.

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SN	Reference	Description	Query details	Bank Reply
24	Pg.No 124 Para No 3. d.	Product Audit / Functionality Audit	How many application are to be covered under the product/functionality audit.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
25	Pg.No 64 Para No Part II - Commercial Bid	Web Application Security Testing / VAPT of internal web applications on adhoc basis, including compliance audit as per the terms of RFP	For Web Application Security Testing, to avoid any confusions if we can please define approximate size of the application, then as bidders we would be in a much better position to provide much accurate pricing. We recommend that we can define 3 sets of application on the basis of their size (No. of Dynamic Pages) as Small Applications, Medium applications and Large Applications.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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				Compliance Verification Audit as detailed in RFP.

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SN	Reference	Description	Query details	Bank Reply
26	Pg.No 64 Para No Part II - Commercial Bid	Web Application Security Testing / VAPT of public facing web applications on adhoc basis, including compliance audit as per the terms of RFP	For Web Application Security Testing, to avoid any confusions if we can please define approximate size of the application, then as bidders we would be in a much better position to provide much accurate pricing. We recommend that we can define 3 sets of application on the basis of their size (No. of Dynamic Pages) as Small Applications, Medium applications and Large Applications.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.

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SN	Reference	Description	Query details	Bank Reply
27	Pg.No 64 Para No Part II - Commercial Bid	Web Application Security Testing / VAPT of Mobile applications on adhoc basis, including compliance audit as per the terms of RFP	For Mobile Application Security Testing, to avoid any confusions if we can please define approximate size of the application, then as bidders we would be in a much better position to provide much accurate pricing. We recommend that we can define 3 sets of application on the basis of their size (No. of Screens for Mobile Application) as Small Applications, Medium applications and Large Applications.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.

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SN	Reference	Description	Query details	Bank Reply
28	Pg.No 64 Para No Part II - Commercial Bid	Web Application Security Testing / VAPT of internal / public facing / mobile applications (white box testing) on adhoc basis, including compliance audit as per the terms of RFP	For Mobile Application Security Testing, to avoid any confusions if we can please define approximate size of the application, then as bidders we would be in a much better position to provide much accurate pricing. We recommend that we can define 3 sets of application on the basis of their size (No. of Screens for Mobile Application) as Small Applications, Medium applications and Large Applications.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.



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SN	Reference	Description	Query details	Bank Reply
29	Pg.No 64 Para No Part II - Commercial Bid	Source Code Review of applications on adhoc basis, including compliance audit as per the terms of RFP	For Source Code Review, to avoid any confusions if we can please defind approximate size of the application, Then as bidder we would be in a much better position to provide much accurate pricing. We recommend that we can defile 3 sets of application on the basis of their size (No. of Approx LOC - Line Of codes) as Small Applications, Medium applications and Large Applications.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.

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SN	Reference	Description	Query details	Bank Reply
30	Pg.No 30 Para No 2.A.e.	Source code review of 100 applications as per secure coding practices and as per the requirement of regulatory bodies like RBI CSITE, NPCI, UIDAI, etc. on annual basis.	How many Source Code reviews will be Onsite and Offsite	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.
31	Pg.No 116 Para No 3.B	IT General Security Control / Process audit	Total No. of Locations for Audit of DC/DR/NDR Branch Offices	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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SN	Reference	Description	Query details	Bank Reply
				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
32	Pg.No 30 Para No A.	VAPT, Application Security Audit & Source Code Review:	VAPT activities, what all will be Onsite and Offsite	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project

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				<p>specific Audit scope &amp; coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.</p>

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SN	Reference	Description	Query details	Bank Reply
33	Pg.No Pg.30 Para No 2. Scope of Audit	Source Code Review of applications on adhoc basis.	1.Kindly confirm the frequency of Source code review to be conducted. We understand as per RFP it is 50 Apps, can you please provide the Line of Code or bifircation into small (1000-5000)medium(10000-20000) and large(1 lac plus)	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.



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SN	Reference	Description	Query details	Bank Reply
34	Pg.No Pg.94 Para No 3. Detailed Scope of Audit, as applicable for the audit assignment entrusted	IT General Security Control / Process audit should cover i. DC, NDR and DR of the Bank as well as of the vendor, wherever applicable. ii. Departments in Corporate Office / Head Office or any other bank's office at any place, where application/IT infrastructure is installed or may be installed. including Third party IT environments / service providers / outsourced activities.	Kindly confirm the vendors count for which we need to perform the audit.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
35	Pg.No 25 Para No 6	The applicant should have - - Willingness to depute competent resources at Bank's Head Office in Chennai continuously for conduct of audit at short notice. - Capability and willingness to deploy competent resources to carry out assignments entrusted by the bank in Chennai, Mumbai and/or any other location, as specified by the Bank, at short notice and to ensure timely completion of the assignments, at their cost.	Please confirm if there are any other locations as well in addition to Mumbai and Chennai for onsite audit. Please confirm if any of the in scope activities can be managed remotely.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
36	Pg.No 29 Para No 2,3 & 4	Locations count	Please highlight count of locations in scope(ex: Corporate Office, DR Sites, Datacenters, etc.).	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.
37	Pg.No 29 Para No 2,3 & 4	Asset Count	Please highlight count of Applications in scope	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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SN	Reference	Description	Query details	Bank Reply
				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
38	Pg.No 29 Para No 2,3 & 4	Asset Count	Please highlight count of Network Devices in scope	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project

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				<p>specific Audit scope &amp; coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.</p>



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SN	Reference	Description	Query details	Bank Reply
39	Pg.No 29 Para No 2,3 & 4	Asset Count	Please highlight count of Servers in scope	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.
40	Pg.No 29 Para No 2,3 & 4	Department	Please highlight the number of departments in scope	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
41	Pg.No 29 Para No 2,3 & 4	People	Please highlight the number of people in scope	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project

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SN	Reference	Description	Query details	Bank Reply
				<p>specific Audit scope &amp; coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.</p>

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SN	Reference	Description	Query details	Bank Reply
42	Pg.No 30 Para No 2	Application Penetration Testing	<p>In a scope we understand that there are certain count of Apps for App PT activity. kindly confirm, on the total count of Apps for App PT activity and</p> <p>Kindly share the number of small*, medium** and large*** application within the scope of Application Penetration testing (including both mobile(Android &amp; IOS) and web applications)</p> <p>* Application with less than 40 pages/menus  **Application with 40-80 pages/menus  ***Application with more than 80 pages/menus</p>	<p>During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope &amp; coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by</p>

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.

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SN	Reference	Description	Query details	Bank Reply
43	Pg.No 30 Para No 2	Internal VAPT	In a scope we understand that there are certain count of IPs, kindly help us with bifurcation of IPs. Kindly share the total count of Internal IPs for Internal VAPT activity.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.
44	Pg.No 30 Para No 2	External VAPT	In a scope we understand that there are certain count of IPs, kindly help us with bifurcation of IPs. Kindly share the total count of External IPs for External VAPT activity.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while



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SN	Reference	Description	Query details	Bank Reply
				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
45	Pg.No 30 Para No 2	API testing	Please highlight the requirement for API testing activity. If yes, please confirm on the total count of API calls for each API Domain/Service.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project

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SN	Reference	Description	Query details	Bank Reply
				<p>specific Audit scope &amp; coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.</p>

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SN	Reference	Description	Query details	Bank Reply
46	Pg.No 30 Para No 2	Delivery mode	Please advice on the delivery mode of project (Remote or Onsite)	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.
47	Pg.No 30 Para No 2	Accounts	Kindly confirm, on the count of Accounts (AWS or Azure)	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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SN	Reference	Description	Query details	Bank Reply
				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
48	Pg.No 30 Para No 2	Virtual Servers/Instances	Kindly share the number of Virtual Servers/Instance in the scope of assessment.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project

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SN	Reference	Description	Query details	Bank Reply
				<p>specific Audit scope &amp; coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.</p>

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SN	Reference	Description	Query details	Bank Reply
49	Pg.No 30 Para No 2	Network Devices	<p>Kindly share the number of Network Devices in the scope of Assessment, network devices can be of the following:</p> <ul style="list-style-type: none"> <li>•ALB</li> <li>•ELB</li> <li>•LB</li> <li>•WAF</li> <li>•NSG &amp; etc.</li> </ul>	<p>During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope &amp; coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by</p>

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.
50	Pg.No 30 Para No 2	Databases	Kindly share the number of Databases in the scope of Assessment, Databases can be of the following: <ul style="list-style-type: none"> <li>• MySQL</li> <li>• Relational Database</li> <li>• If any other type of Database used, kindly specify</li> </ul>	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while



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SN	Reference	Description	Query details	Bank Reply
				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
51	Pg.No 31 Para No 3. Detailed Scope of Audit, as applicable for the audit assignment entrusted	· DC, NDR and DR of the Bank as well as of the vendor, wherever applicable	How many third party IT environments here?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project

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SN	Reference	Description	Query details	Bank Reply
				specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.

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52	Pg.No 29 Para No SECTION – III---1 - Overview of Scope 2 Paragraph	Broad Scope of Work: IS Audit shall cover the entire gamut of computerized functioning including core banking, e-Delivery Channels, robustness of different functions, such as application systems and subsystems, architecture, infrastructure, network, Logical access control, input, processing and output controls, procedures, data integrity/efficiency, Change Management and effectiveness in implementation of Bank's IS Security Policy & Procedures. This shall include any other new addition/ upgradation in hardware, software, business applications, new deliverables, change in architecture/	Total Count of Count of Application systems?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
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		<p>Migration during the contract period at Data Centre, DR site, Near-DR, Head Office &amp; Corporate Office Departments and other specialised offices of the Bank.</p>		
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SN	Reference	Description	Query details	Bank Reply
53	Pg.No 30 Para No 2. Scope of Audit	3. Web Application Security Testing / Vulnerability Assessment (VA) / Penetration Testing (PT) of internal web applications on adhoc basis.	Please provide the application byfercation for Small    Medium    Large.(Quantity of Applications)	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.
54	Pg.No 30 Para No 2. Scope of Audit	5. Web Application Security Testing / Vulnerability Assessment (VA) / Penetration Testing (PT) of Mobile applications on adhoc basis	Total number of Android Application ?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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SN	Reference	Description	Query details	Bank Reply
				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
55	Pg.No 30 Para No 2. Scope of Audit	5. Web Application Security Testing / Vulnerability Assessment (VA) / Penetration Testing (PT) of Mobile applications on adhoc basis	Total Number of IOS applications?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project

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SN	Reference	Description	Query details	Bank Reply
				specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.



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SN	Reference	Description	Query details	Bank Reply
56	Pg.No 31 Para No SECTION – III---3. Detailed Scope of Audit, as applicable for the audit assignment entrusted	DC, NDR and DR of the Bank as well as of the vendor, wherever applicable.	Total Number of Vendors?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.
57	Pg.No 30 Para No 2. Scope of Audit	7. Source Code Review of applications on adhoc basis	Kindly provide the byfercation for each application's lines of code.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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SN	Reference	Description	Query details	Bank Reply
				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
58	Pg.No 30 Para No 2	Scope of Audit	Q2) Please provide the number of the target systems( servers, databases, network devices and security devices) that will be subject to these reviews.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project

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SN	Reference	Description	Query details	Bank Reply
				specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.

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SN	Reference	Description	Query details	Bank Reply
59	Pg.No 30 Para No 3	Detailed Scope of Audit, as applicable for the audit assignment entrusted (Audit Specific)	Q11) Is the expectation that the IS Audit partner has to visit each site for physical audit or there is some leeway in deciding this? Please provide criteria for this decision making. (This question is related to Q10 above)	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by



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				Compliance Verification Audit as detailed in RFP.

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SN	Reference	Description	Query details	Bank Reply
60	Pg.No 30 Para No 3	Detailed Scope of Audit, as applicable for the audit assignment entrusted (Audit Specific)	Q13) Since the audit shall be conducted in an ad-hoc manner; what is the lead time we can expect bank to tell us in advance for so that necessary arrangements for resources and travel as necessary may be made. If costs for travel have to be borne by the bidder, we expect bank to suggest the audit timelines at least 1month in advance. What is the bank's view on this?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by



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SN	Reference	Description	Query details	Bank Reply
61	Pg.No 30 Para No 3	Detailed Scope of Audit, as applicable for the audit assignment entrusted (Audit Specific)	Q14) The RFP mentions that the scope shall be decided by the bank. What would be the frequency of such audits in terms of timelines, locations to be visited and other such items. Viewpoint on this will be appreciated.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.
62	Pg.No 30 Para No 12	i) Vulnerability Assessment and Penetration Testing (VAPT) should be comprehensive and not limited to OWASP Top 10, SANS TOP 25, etc.	Can you provide the inventory list ?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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SN	Reference	Description	Query details	Bank Reply
				<p>entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.</p>

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63	Pg.No 30 Para No 13	ii) VAPT should include, but not limited to the following: Network Scanning, Port Scanning, System/service identification and scanning, Vulnerability scanning, Malware scanning, Spoofing, Scenario Analysis, Application security testing, OS fingerprinting, Service fingerprinting, Access control mapping, Authorization testing, DoS/DDoS Attacks, Lockout testing, Containment Measure testing, Password cracking, War Dialling, Cookie security, Functional validations, Network architecture review, OS Security Configuration review, Security device configuration review, Network device	Could you clarify the desired frequency of VAPT assessments?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
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		<p>configuration review, Database security assessment, Web site security assessment, Vulnerability Research &amp; Verification, IDS/IPS review &amp; Fine tuning of Signatures, Man in the Middle attack, Man in the browser attack and any other assessments/attacks.</p>		
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SN	Reference	Description	Query details	Bank Reply
64	Pg.No 30 Para No 1	Scope of Audit	Request you to provide us the count of VAPT and IS Audit Locations	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.
65	Pg.No 30 Para No 2	Scope of Audit	Request you to provide us the count of VAPT and IS Audit Locations	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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SN	Reference	Description	Query details	Bank Reply
				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
66	Pg.No 30 Para No 3	Scope of Audit	Request you to provide us the count of VAPT and IS Audit Locations	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project



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				<p>specific Audit scope &amp; coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.</p>

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SN	Reference	Description	Query details	Bank Reply
67	Pg.No 30 Para No 3	Scope of Audit	Request you to provide us the count of VAPT and IS Audit Locations	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.
68	Pg.No 30 Para No 3	Scope of Audit	Request you to provide us the count of VAPT and IS Audit Locations	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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SN	Reference	Description	Query details	Bank Reply
				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
69	Pg.No 30 Para No 3	Scope of Audit	Request you to provide us the count of VAPT and IS Audit Locations	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project

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				specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.

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SN	Reference	Description	Query details	Bank Reply
70	Pg.No 30 Para No 3	Scope of Audit	Request you to provide us the count of VAPT and IS Audit Locations	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.
71	Pg.No 30 Para No 3	Scope of Audit	Request you to provide us the count of VAPT and IS Audit Locations	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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SN	Reference	Description	Query details	Bank Reply
				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
72	Pg.No Pg.93 Para No 3. Detailed Scope of Audit, as applicable for the audit assignment entrusted	Database security assessment, Web site security assessment, Vulnerability Research & Verification, IDS/IPS review & Fine tuning of Signatures, Man in the Middle attack, Man in the browser attack and any other assessments/attacks.	In the RFP page no. 93, it is mentioned about finetuning of the signatures, but we assume as part of the audit only the review of the IDS/IPS and signatures would be needed. Any remediation or modification of the configuration will be out of scope. Can you please clarify.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project



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				<p>specific Audit scope &amp; coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.</p>

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SN	Reference	Description	Query details	Bank Reply
73	Pg.No Pg.29 Para No 1 - Overview of Scope	The Auditors shall give reasonable assurance to the Top Management explicitly in their audit report, with regard to Compliance of Information Technology (IT) Act 2000, Information Technology (Amendment) Acts and all applicable information system and cyber security related guidelines laid down by Regulatory Authorities and other appropriate agencies like RBI, CSITE, NPCI, UIDAI, IDRBT, CERT-in, NCIIPC, NSC, etc. and control requirements of ISO/IEC 27001 & 22301 and NIST.	We noted that the compliance audit to be performed against RBI, CSITE, NPCI, UIDAI, IDRBT, CERT-in, NCIIPC, NSC, etc and control requirements of ISO/IEC 27001 & 22301 and NIST. 1. Kindly confirm if you are looking for specific circulars under these regulatory authorities against which we have to perform the audit. 2. We assume the control requirements to be verified according to ISO/IEC 27001 & 22301 and NIST, please confirm.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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				Compliance Verification Audit as detailed in RFP.

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SN	Reference	Description	Query details	Bank Reply
74	Pg.No Pg.29 Para No 1 - Overview of Scope	Compliance of all applicable International guidelines / frameworks like COBIT, OWASP, SANS, ISACA, etc. and widely accepted best practices & standards	We noted that the compliance checks to be performed based on International guidelines / frameworks like COBIT, OWASP, SANS, ISACA. 1. Kindly confirm the guidelines/frameworks to be referred for the compliance checks.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by



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75	Pg.No Pg.29 Pg.30 Para No 1 - Overview of Scope 2. Scope of Audit	<p>1. IS Audit shall cover the entire gamut of computerized functioning including</p> <ul style="list-style-type: none"> <li>i. core banking,</li> <li>ii. e-Delivery Channels,</li> <li>iii. robustness of different functions - such as <ul style="list-style-type: none"> <li>a. application systems and subsystems,</li> <li>b. architecture, -</li> <li>c. infrastructure,</li> <li>d. network,</li> <li>e. Logical access control,</li> <li>f. input, processing and output controls,</li> <li>g. procedures,</li> <li>h. data integrity/efficiency,</li> <li>i. Change Management</li> <li>j. effectiveness in implementation of Bank's IS Security Policy &amp; Procedures.</li> </ul> </li> </ul> <p>2. Scope / functional areas to be covered during the contract</p>	We noted that the given functional areas to be covered under IS audit but specific scope has not been shared. We noticed from point# 2 the specific scope of audit for each assignment will be communicated, as and when entrusted, kindly confirm the specific scope to decide the efforts.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
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		<p>period have been divided into verticals as under, which will be entrusted on ad-hoc basis, as and when required by the Bank, either in test or in production environment and specific scope of audit for each assignment will be communicated, as and when entrusted.</p>		
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SN	Reference	Description	Query details	Bank Reply
76	Pg.No 31 Para No 10	3. Detailed Scope of Audit, as applicable for the audit assignment entrusted	We understand that an ITGC audit, in addition to a Process Audit, needs to be conducted as part of the IS Audit.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by



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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.
77	Pg.No 31 Para No 10	3. Detailed Scope of Audit, as applicable for the audit assignment entrusted	We understand that a single comprehensive ITGC and Process audit report should be created.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
78	Pg.No 32 Para No 11	3. Detailed Scope of Audit, as applicable for the audit assignment entrusted	We understand it is necessary to also perform a revalidation of the findings observed during the gap assessment.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project

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				<p>specific Audit scope &amp; coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.</p>

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SN	Reference	Description	Query details	Bank Reply
79	Pg.No 31 Para No 10	3. Detailed Scope of Audit, as applicable for the audit assignment entrusted	Apart from ITGC, do we have to follow any other standards/regulatory compliances to perform the IS Audit?	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by

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SN	Reference	Description	Query details	Bank Reply
				Compliance Verification Audit as detailed in RFP.
80	Pg.No 29 Para No 2,3 & 4	Circular	Please highlight the circular/guideline/standard is required to perform IS Audit.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while

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SN	Reference	Description	Query details	Bank Reply
				entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
81	Pg.No 30 Para No 2	Availability Zones	Kindly share the availability zones count the cloud infra is spread across	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project

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SN	Reference	Description	Query details	Bank Reply
				<p>specific Audit scope &amp; coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.</p>

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82	Pg.No 31 Para No SECTION – III---3. Detailed Scope of Audit, as applicable for the audit assignment entrusted	iv) Penetration Testing should include targeted testing, external testing, internal testing, grey box and black box testing, wherever applicable. The PT functional areas to be taken care of shall include the following, but not limited to: Analysis security vulnerabilities, Investigate Multi Threat Surface, Commercial- Grade Exploits, Vulnerability Assessment Validation, Port Assessing/ Scanning, Configuration and Services, Perimeter Defence Network Penetration Test (internal and external), Host & device Testing, Application Penetration Testing, Client-Side Testing of End Users and Endpoint, Identity Discovery & Password	Can we simply perform Black Box testing on external applications? And black and gray box assessment for internal applications.	During the contract period of 2 years, Adhoc IS Audit assignments relating to any one or more of the 8 line activities mentioned in Page 30 of the RFP will be entrusted. Mobile testing includes Android and iOS. Indicative no. of adhoc assignments expected to be entrusted during the 2 year period is mentioned in Commercial Bid for RFP Evaluation purposes. Project specific Audit scope & coverage will be intimated while entrusting the audit assignment. Further, relevant details on Bank's infrastructure and regulations will also be provided while entrusting the audit assignment. General Scope of Audit as detailed in the RFP and as applicable to the audit assignment entrusted, have to be covered while conducting the audit assignment. All audits have to be conducted onsite and should be followed by Compliance Verification Audit as detailed in RFP.
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		<p>Cracking, Database and Cloud penetration Testing. Latest exploits trend, if any should be brought under assessment during the task is in progress</p>		
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SN	Reference	Description	Query details	Bank Reply
83	Pg.No 35 Para No 5. Deliverables para 2	(i) The deliverables are as under: In case of VAPT, only licensed tools have to be utilized and each audit report shall include the details of tools utilized, version of the tools, license, etc. along with a declaration /confirmation that the tools used are free from any malicious code & malwares and are updated with latest patches released by the OEM and the latest vulnerabilities notified by Market Intelligence sources. Necessary licenses for tools, required to conduct the Vulnerability Assessment / Penetration Testing / Code Scan / Code Review etc. shall be available with the audit firm.	would the bank provide the audit tools, or should the bidder have to organize them?	Reference is invited to Phase I (a) in page 33 and para 5(i) in page 35, as per which the auditors will be required to use only licensed version of tools, free from any malwares, with prior permission of the Bank, strictly in “non-destructive” mode only. Audit Tools have to be arranged by auditors at their own cost. Prior approval to be obtained from Bank before deployment, by submitting full details of audit tools proposed by the auditors.

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SN	Reference	Description	Query details	Bank Reply
84	Pg.No 35 Para No 5. Deliverables para 2	(i) The deliverables are as under: In case of VAPT, only licensed tools have to be utilized and each audit report shall include the details of tools utilized, version of the tools, license, etc. along with a declaration /confirmation that the tools used are free from any malicious code & malwares and are updated with latest patches released by the OEM and the latest vulnerabilities notified by Market Intelligence sources. Necessary licenses for tools, required to conduct the Vulnerability Assessment / Penetration Testing / Code Scan / Code Review etc. shall be available with the audit firm.	The bank would cover the cost of the audit tools, or would the bidder be responsible?	Reference is invited to Phase I (a) in page 33 and para 5(i) in page 35, as per which the auditors will be required to use only licensed version of tools, free from any malwares, with prior permission of the Bank, strictly in “non-destructive” mode only. Audit Tools have to be arranged by auditors at their own cost. Prior approval to be obtained from Bank before deployment, by submitting full details of audit tools proposed by the auditors.

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SN	Reference	Description	Query details	Bank Reply
85	Pg.No General Para No General	General	Kindly let us know if you have any existing security testing tool set in place to carry out security services.	Bank is having Security Operations including Firewalls, end point protection, etc. on par with industry standards. Details will be shared before commencement of audit.
86	Pg.No 30 Para No 3	Detailed Scope of Audit, as applicable for the audit assignment entrusted (Common)	Q1) Firewall is present in network infrastructure or not?	
87	Pg.No 30 Para No 3	Detailed Scope of Audit, as applicable for the audit assignment entrusted (Common)	Q2) Endpoint protection implemented in newtork or not? Endpoint protection details i.e. Trend Micro or Symantec	
88	Pg.No 30 Para No 3	Detailed Scope of Audit, as applicable for the audit assignment entrusted (Common)	Q4) Port matrix is defined to ensure un-necessary ports are disabled or filtered?	
89	Pg.No 30 Para No 3	Detailed Scope of Audit, as applicable for the audit assignment entrusted (Common)	Q5) Any PII/SPI data stored by applications?	

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SN	Reference	Description	Query details	Bank Reply
90	Pg.No 30 Para No 3	Detailed Scope of Audit, as applicable for the audit assignment entrusted (Common)	Q8) Please provide details about cyber security assessments performed till date	
91	Pg.No 16 Para No Point 3 - Part II	Commercial Bid Submission	Bids are to be submitted only after the technical evaluation is completed?	Reference is invited to Section I in Page 16 of RFP. Commercial bid has to be submitted as prescribed in the RFP along with bid documents by last date / time for receipt of bids
92	Pg.No Para No General	Can we share the slab pricing instead of Unit price per instance.(for example 10 Applications/URL's/IP's as slab one)		Reference is invited to Section I in Page 16 of RFP. Commercial bid has to be submitted as prescribed in the RFP along with bid documents by last date / time for receipt of bids

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SN	Reference	Description	Query details	Bank Reply
93	Pg.No 30 Para No 3	Detailed Scope of Audit, as applicable for the audit assignment entrusted (Audit Specific)	Q15) Would you consider a bidder who can conduct such audits and provide reports per guidelines and requirements laid down by bodies such as RBI but unable to provide a certification against it? What is the criteria for decisionmaking in this case if none of the bidders can provide this and are NOT accredited to do so?	In addition to Audit Report, Audit Certificate, if any required for regulatory compliance, has to be submitted by IS Auditor.
94	Pg.No 35 Para No 5	Deliverables	Q1) Report for individual assessments to be provided can include asset audited or not? Or individual reports to be submitted?	In addition to Audit Report, Audit Certificate, if any required for regulatory compliance, has to be submitted by IS Auditor.

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SN	Reference	Description	Query details	Bank Reply
95	Pg.No 96 Para No 3	Certification for Compliance & Final Sign off	Can you please elaborate more on the ISA compliance certificate part. Is there any specific format of certification you need or it would be an generic format certificate stating that the tested systems/applications were evaluated and were found compliant.	In addition to Audit Report, Audit Certificate, if any required for regulatory compliance, has to be submitted by IS Auditor.
96	Pg.No 84 Para No ANNEXURE-X	Contract Form (To be submitted on Non - Judicial Stamp Paper)	What would be value of the stamp paper	As applicable at the time of execution in the local jurisdiction of State wherein the Contract will be ececuted. However, in Chennai Tamilnadu Stamp duty of Rs. 100.00 is applicable.
97	Pg.No 87 Para No ANNEXURE-XI	Non-Disclosure Agreement	What would be value of the stamp paper	As applicable at the time of execution in the local jurisdiction of State wherein the Contract will be ececuted. However, in Chennai Tamilnadu Stamp duty of Rs. 100.00 is applicable.

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SN	Reference	Description	Query details	Bank Reply
98	Pg.No 91 Para No ANNEXURE-XII	SERVICE LEVEL AGREEMENT	What would be value of the stamp paper	As applicable at the time of execution in the local jurisdiction of State wherein the Contract will be executed. However, in Chennai Tamilnadu Stamp duty of Rs. 100.00 is applicable.
99	Pg.No 24 Para No Eligibility Criteria Point -5	In the last three years as on the date of RFP, the Applicant must have carried out minimum three audit assignments covering both IS Audit and VAPT, of which atleast one assignment pertains to Scheduled Commercial Bank having minimum of 3000 branches.	We have performed Various audit under the gamet of IS audit such as PCI DSS, audits, VAPT, audit as per RBI, IRDA, SEBI, etc for various customers, kindly accept the mentioned work experience as the eligibility requirements.	As per sl.no.5 of eligibility criteria, bidders should have minimum experience in both IS Audit and VAPT, as required in RFP. Bidders can submit different Work Orders for IS Audit and VAPT from the same or different customers in this regard.



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SN	Reference	Description	Query details	Bank Reply
100	Pg.No 24 Para No 5	In the last three years as on the date of RFP, the Applicant must have carried out minimum three audit assignments covering both IS Audit and VAPT, of which atleast one assignment pertains to Scheduled Commercial Bank having minimum of 3000 branches.	We assume it would be fine if separate work orders of IS Audit and VA/PT are submitted for a single organization.	As per sl.no.5 of eligibility criteria, bidders should have minimum experience in both IS Audit and VAPT, as required in RFP. Bidders can submit different Work Orders for IS Audit and VAPT from the same or different customers in this regard.
101	Pg.No 23 Para No 9.1	Eligibility Criteria	Information of Client Reference in regards to client name and etc	Information to be furnished as sought in Annexure VI for technical evaluation. List of branches of the client need not be furnished if the same is available in public domain.

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SN	Reference	Description	Query details	Bank Reply
102	Pg.No 24 Para No 5	Eligibility Criteria: In the last three years as on the date of RFP, the Applicant must have carried out minimum three audit assignments covering both IS Audit and VAPT, of which atleast one assignment pertains to Scheduled Commercial Bank having minimum of 3000 branches.	Should the work order/PO listing the 3000 branches be displayed, or would the bank name be sufficient?	Information to be furnished as sought in Annexure VI for technical evaluation. List of branches of the client need not be furnished if the same is available in public domain.
103	Pg.No 24 Para No 5	Eligibility Criteria: In the last three years as on the date of RFP, the Applicant must have carried out minimum three audit assignments covering both IS Audit and VAPT, of which atleast one assignment pertains to Scheduled Commercial Bank having minimum of 3000 branches.	Will PO/Work Orders from sources besides banks be accepted? i.e. PO pertaining to an NBFC, another government agency, or a PSU	Reference is invited to Eligibility Criteria Sl.No.5 in RFP

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SN	Reference	Description	Query details	Bank Reply
104	Pg.No 30 Para No 3	Detailed Scope of Audit, as applicable for the audit assignment entrusted (Audit Specific)	Q16) What is the criteria for finalising a successful bidder. What is the weightage provide to both technical and commercial aspects respectively?	Reference is invited to RFP Evaluation Criteria / procedure in para 9.2 to 9.5 in page 26 of RFP

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SN	Reference	Description	Query details	Bank Reply
105	Pg.No 22 Para No 7 - Bid Security (Earnest Money Deposit)	The Bidder should submit at the time of online submission of Bid, as part of its bid, a bid security / EMD in the form of DD/ Fund transfer/ Bank Guarantee issued by a Scheduled Commercial Bank located in India (other than Indian Bank), in the form provided in the Bidding Documents (Annexure-XV) for a sum of Rs 10,00,000/- (Rupees Ten lakhs only) valid for 165 days (One hundred and Sixty Five days) from the last date for submission of Bid. Bank may seek extension of Bank Guarantee, if required. Relaxation if any, extended by GOI/ competent authorities for furnishing the EMD shall be passed on to the bidders.	As per Government of India Guidelines, MSME are exempted from payment of Tender Fee / EMD. Hence, request you to exempt MSME registered firm from submission of tender fee.Requesting to kindly consider the same	Reference is invited to para 7 in page 17 of RFP, as per which Bank will provide benefits to Micro and Small Enterprises (MSEs) as per the guidelines of public procurement policy issued by Government of India. However, the bidder must be the Service provider of the offered Service to avail benefits of MSEs. The bidders to submit the relevant proof of MSE along with declaration for claiming MSE Benefits. As per the above clause, MSEs are exempted from payment/submission of cost of RFP and Bid Security.

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106	Pg.No 22 Para No 8. Cost of Bid Document	<p>Cost of Bid Document amounting to Rs.5,000/- (Rupees Five thousand only) is to submitted along with technical bid to be submitted in the form of DD favouring Indian Bank, payable at Chennai, if not participated in the pre-bid meeting, or through electronic transfer as detailed in point no. 8 of schedule [A]. MSE/ NSIC registered bidders are exempted from submitting the bid cost. Such bidders should submit the copy of registration certificate and other document as proof which should be valid for the current period, along with declaration as per Annexure – III duly signed by company secretary/ Director of the</p>	Attached	<p>Reference is invited to para 7 in page 17 of RFP, as per which Bank will provide benefits to Micro and Small Enterprises (MSEs) as per the guidelines of public procurement policy issued by Government of India. However, the bidder must be the Service provider of the offered Service to avail benefits of MSEs. The bidders to submit the relevant proof of MSE along with declaration for claiming MSE Benefits. As per the above clause, MSEs are exempted from payment/submission of cost of RFP and Bid Security.</p>
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		<p>concerned enterprise. However, the bidder must be the Service provider of the offered Service to avail benefits of MSEs.</p>		
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SN	Reference	Description	Query details	Bank Reply
107	Pg.No 7 Para No Schedule A - Point 8	Cost of RFP	As we are MSME registered Vendor Please confirm the exception from submitting the RFP Cost of Rs. 5000	Reference is invited to para 7 in page 17 of RFP, as per which Bank will provide benefits to Micro and Small Enterprises (MSEs) as per the guidelines of public procurement policy issued by Government of India. However, the bidder must be the Service provider of the offered Service to avail benefits of MSEs. The bidders to submit the relevant proof of MSE along with declaration for claiming MSE Benefits. As per the above clause, MSEs are exempted from payment/submission of cost of RFP and Bid Security.
108	Pg.No 7 Para No Schedule A - Point 10	Submission of Bid Security	As we are MSME registered Vendor Please confirm if we have the the exception from submitting the Bid Security	Reference is invited to para 7 in page 17 of RFP, as per which Bank will provide benefits to Micro and Small Enterprises (MSEs) as per the guidelines of public procurement policy issued by Government of India. However, the bidder must be the Service provider of the offered Service to avail benefits of MSEs. The bidders to submit

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SN	Reference	Description	Query details	Bank Reply
				the relevant proof of MSE along with declaration for claiming MSE Benefits. As per the above clause, MSEs are exempted from payment/submission of cost of RFP and Bid Security.
109	Pg.No 20 Para No Section 2 - Pre-Bid Meeting	In case the Probable Bidder wants to participate in the Pre-Bid Meeting to be held on the date specified in this bid, they should register themselves with the Bank by paying the cost of bid document i.e. Rs.5,000/- (non-refundable) by way of Demand Draft in favour of Indian Bank	As we are MSME registered vendor, Please allow us to participate in the Pre-bid meeting as the "cost of Bid document is not applicable to us"	Reference is invited to para 7 in page 17 of RFP, as per which Bank will provide benefits to Micro and Small Enterprises (MSEs) as per the guidelines of public procurement policy issued by Government of India. However, the bidder must be the Service provider of the offered Service to avail benefits of MSEs. The bidders to submit the relevant proof of MSE along with declaration for claiming MSE Benefits. As per the above clause, MSEs are exempted from payment/submission of cost of RFP and Bid Security.



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SN	Reference	Description	Query details	Bank Reply
110	Pg.No Para No Indemnity	Additional Clauses for inclusion	Tenderer shall indemnify and hold harmless the bidder for all Losses incurred in connection with any third-party Claim, except to the extent finally judicially determined to have resulted primarily from the fraud or bad faith of such Bidder.	Since Bank will receive services from bidder, the third party claim may come against Bank for the services obtained. Therefore, indemnifying bidder for third party claim is not required.
111	Pg.No Para No Non-solicitation	Additional Clauses for inclusion	Bidder shall not hire employees of Tenderer or solicit or accept solicitation (either directly, indirectly, or through a third party) from employees of Tenderer directly involved in this contract during the period of the contract and one year thereafter.	Reference is invited to RFP clause in para 40.7 in page 55 of RFP regarding solicitation of employees
112	Pg.No 32 Para No 4	Conduct of Audit	Q1) Escalation matrix defined or not	Reference is invited to para 4(i) in page 32 of RFP.

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SN	Reference	Description	Query details	Bank Reply
113	Pg.No Para No	General Evaluation	2. Whether existing auditors are eligible to participate in the bidding process since it is mentioned in the RFP that existing cyber security vendors are not eligible to apply.	As per para 9.2.e, IS Auditors who have conducted IS audit/VAPT of Indian Bank in the last 2 years will not be considered for respective area of operations in line with RBI guidelines on rotation of auditors.However, there is no bar for existing IS Auditors to participate in this RFP process.
114	Pg.No 6 Para No SCHEDULE [A]: IMPORTANT DATES AND INFORMATION ON RFP SUBMISSION	Last Date of Submission/ Closing Date in Online & Offline Mode 29.09.2023 at 4.00 pm	Request you to please extend the proposal submission date by another two weeks i.e. 13/10/2023 up to 15.00 hours.	RFP Terms to continue

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115	Pg.No 23 Para No 9.1 Eligibility Criteria	<p>S. No. 1: The Applicant should be a Company registered under Companies Act, 1956/2013 or LLP registered under Limited Liability Partnership Act, 2008 or Registered Partnership Firm under Partnership Act, 1932 or PSE/PSU/Government Organization and should have been operating for at least five years in India as on date of RFP.</p> <p>Copies of</p> <ul style="list-style-type: none"> <li>• Certificate of Incorporation, Certificate of Commencement of Business, Memorandum and Articles of Association and / or Copy of Registered Partnership</li> </ul>	<p>Request to kindly modify clause as below: Copies of</p> <ul style="list-style-type: none"> <li>• Certificate of Incorporation, Certificate of Commencement of Business, Memorandum and Articles of Association and / or Copy of Registered Partnership Deed OR Legal Entity Identifier (LEI) Certificate;</li> <li>• PAN Card</li> <li>• GST Certificate</li> <li>• MSE Certificate, if applicable</li> </ul>	RFP Terms to continue
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		<p>Deed;</p> <ul style="list-style-type: none"> <li>• Legal Entity Identifier (LEI) Certificate;</li> <li>• PAN Card</li> <li>• GST Certificate</li> <li>• MSE Certificate, if applicable</li> </ul>		
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SN	Reference	Description	Query details	Bank Reply
116	Pg.No 23 Para No 9.1 Eligibility Criteria	S.No.3: Positive net worth in each of the last three financial years of the applicant and the same should not have been eroded by more than 30% in the last three years	Request to kindly modify clause as below: The bidder should be positive net worth in each of the last three financial years (FY-2020-21, 2021-22 as per the last published audited balance sheets, P&L statement AND FY 2022-23 (as per Provisional Certificate)	RFP Terms to continue
117	Pg.No 24 Para No 9.1 Eligibility Criteria	S.No.3: Minimum annual turnover of Rs.3 crore from Information Security audit activities in each of the last three financial years	Request to kindly modify clause as below: Minimum average annual turnover of Rs.3 crore from Information Security audit activities in each of the last three financial years (FY-2020-21, 2021-22 as per the last published audited balance sheets AND FY 2022-23 (as per Provisional Certificate)	RFP Terms to continue

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SN	Reference	Description	Query details	Bank Reply
118	Pg.No 24 Para No 9.1 Eligibility Criteria	S.No.4: In addition to this, audit organization should also have minimum fifteen staff with one or more of the following qualifications / valid Certifications.	Request to kindly modify clause as below: In addition to this, audit organization should also have minimum Ten staff with one or more of the following qualifications / valid Certifications.	RFP Terms to continue
119	Pg.No 24 Para No 9.1 Eligibility Criteria	In the last three years as on the date of RFP, the Applicant must have carried out minimum three audit assignments covering both IS Audit and VAPT, of which atleast one assignment pertains to Scheduled Commercial Bank having minimum of 3000 branches.	Request to kindly modify clause as below: In the last three years as on the date of RFP, the Applicant must have carried out minimum three audit assignments covering both IS Audit and VAPT in India / Global, of which atleast one assignment pertains to Scheduled Commercial Bank having minimum of 3000 branches.	RFP Terms to continue

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SN	Reference	Description	Query details	Bank Reply
120	Pg.No 51 Para No 39) Other Terms and Conditions	The relationship between the Bank and Successful Bidder/s is on principal-to-principal basis. Nothing contained herein shall be deemed to create any association, partnership, joint venture or relationship or principal and agent or master and servant or employer and employee between the Bank and Successful Bidder/s hereto or any affiliates or subsidiaries thereof or to provide any party with the right, power or authority, whether express or implied to create any such duty or obligation on behalf of the other party.	Request to allow Joint Venture/ Subcontracting / Consortium(1 Lead member + 1 Consortium Member)	RFP Terms to continue

**Amendments and Clarifications to the RFP for Conduct of IS Audit / VAPT on adhoc basis (Bid Ref No.: IB/I&A/RFP/01/2023-24 date 08.09.2023)**

**ADDENDUM-I dated 22/09/2023**

SN	Reference	Description	Query details	Bank Reply
121	Pg.No 24 Para No Eligibility Criteria Point -6	permanent office and/or permanent officials in Chennai.	We would request the bank to waive of this requirement as we are completely capable of delivering the project onsite/offsite by deploying resources for audit purposes. We have in past and presently serving customers from Chennai, Mumbai, Bangalore, etc by providing the necessary manpower required for project delivery.	RFP Terms to continue
122	Pg.No 23 Para No 3(ii)	minimum annual turnover of Rs.3 crore from Information Security audit activities in each of the last three financial years	Our turnover is below Rs. 3 crore. Is there any exemption in turnover for Msme's?	RFP Terms to continue



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SN	Reference	Description	Query details	Bank Reply
123	Pg.No 25 Para No 6	The applicant should have - Permanent office and/or permanent officials in Chennai.	Rquest you to modify the clause as follows: The applicant should have permanent office and/or permanent officials in Chennai or Bangalore or Mumbai.	RFP Terms to continue

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**ADDENDUM-I dated 22/09/2023**

SN	Reference	Description	Query details	Bank Reply
124	Pg.No 25 Para No 6	The applicant should have Ø permanent office and/or permanent officials in Chennai. Ø willingness to depute competent resources at Bank's Head Office in Chennai continuously for conduct of audit at short notice. Ø capability and willingness to deploy competent resources to carry out assignments entrusted by the bank in Chennai, Mumbai and/or any other location, as specified by the Bank, at short notice and to ensure timely completion of the assignments, at their cost.	Can the bidder open an office in Chennai after receiving the PO/work order from Indian Bank? Or Should it be opened before the tender is submitted?	RFP Terms to continue

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**ADDENDUM-I dated 22/09/2023**

SN	Reference	Description	Query details	Bank Reply
125	Pg.No 25 Para No 6	The applicant should have Ø permanent office and/or permanent officials in Chennai. Ø willingness to depute competent resources at Bank's Head Office in Chennai continuously for conduct of audit at short notice. Ø capability and willingness to deploy competent resources to carry out assignments entrusted by the bank in Chennai, Mumbai and/or any other location, as specified by the Bank, at short notice and to ensure timely completion of the assignments, at their cost.	Is it necessary to have an office in Chennai if the bidder has offices in Bangalore and Mumbai from which personnel may be deployed within 10 days?	RFP Terms to continue

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**ADDENDUM-I dated 22/09/2023**

SN	Reference	Description	Query details	Bank Reply
126	Pg.No 24 Para No 5	Eligibility Criteria	Will it be possible to relax the criteria "three audit assignments covering both IS Audit and VAPT, of which atleast one assignment pertains to Scheduled Commercial Bank having minimum of 3000 branches." To ensure maximum participation.	RFP Terms to continue

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**ADDENDUM-I dated 22/09/2023**

127	Pg.No Para No Limitation of the Bidder's Liability towards the Purchaser	Additional Clauses for inclusion	Tenderer (and any others for whom Services are provided) shall not recover from the Supplier, in contract or tort, under statute or otherwise, any amount with respect to loss of profit, data or goodwill, or any other consequential, incidental, indirect, punitive, or special damages in connection with claims arising out of this Agreement or otherwise relating to the Services, whether or not the likelihood of such loss or damage was contemplated. Tenderer (and any others for whom Services are provided) shall not recover from the Supplier, in contract or tort, including indemnification obligations under this contract, under statute or otherwise, aggregate damages in excess of the fees actually paid for the Services that directly caused the loss in connection with claims arising out of this Agreement or otherwise relating to the Services	RFP Terms to continue
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**Amendments and Clarifications to the RFP for Conduct of IS Audit / VAPT on adhoc basis (Bid Ref No.: IB/I&A/RFP/01/2023-24 date 08.09.2023)**

**ADDENDUM-I dated 22/09/2023**

128	Pg.No Para No Force Majeure	Additional Clauses for inclusion	<p>1) Bidder shall not be liable for forfeiture of its performance security, Liquidated damages or termination for default, if any to the extent that its delay in performance or other failure to perform its obligations under the contract is the result of an event of Force Majeure.</p> <p>2) For purposes of this Clause, "Force Majeure" means an event explicitly beyond the reasonable control of the Contractor and not involving the contractor's fault or negligence and not foreseeable. Such events may be due to or as a result of or caused by act of God, wars, insurrections, riots, earth quake and fire, revolutions, civil commotion, floods, epidemics, quarantine restrictions, trade embargos, declared general strikes in relevant industries, satellite failure, act of Govt. of India, events not foreseeable but does not include any fault or negligence or carelessness on the part of the parties, resulting in such a situation. In the event of any such intervening Force Majeure, either party shall notify the other in writing of such circumstances or the cause thereof immediately within five calendar days.</p> <p>3) Unless otherwise directed by Tenderer in writing, the selected contractor shall continue to perform its obligations under the Contract as far as is reasonably practical, and shall seek all reasonable alternative means for performance not prevented by the Force Majeure event.</p> <p>4) In such a case the time for performance shall be extended by a period(s) not less than duration of such delay. If the duration of delay continues beyond a period of three months, Tenderer and the bidder shall hold consultations in an endeavour to find a solution to the problem.</p> <p>5) Notwithstanding above, the decision of Tenderer shall be final and binding on the bidder regarding termination of contract or otherwise</p>	RFP Terms to continue
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**Amendments and Clarifications to the RFP for Conduct of IS Audit / VAPT on adhoc basis (Bid Ref No.: IB/I&A/RFP/01/2023-24 date 08.09.2023)**

**ADDENDUM-I dated 22/09/2023**

129	Pg.No 1 Para No Termination for Convenience	Additional Clauses for inclusion	<p>1) In case of termination, Tenderer shall pay the bidder for all work-in progress, Services already performed, and expenses incurred by the bidder upto and including the effective date of the termination of this Agreement.</p> <p>2) Tenderer shall be entitled to terminate/cancel the purchase order at any time for the balance order quantity which is within the delivery schedule with no liability on either side and without assigning any reason thereof. However, the purchase order for the quantity which has already been offered for inspection shall not be cancelled and supply of the same shall be availed in due course of time.</p> <p>3) Bidder may terminate/cancel the contract by giving a written notice of 30 days in case:</p> <p>a) Its invoices are not paid on time</p> <p>b) If Tenderer fails to comply with the terms of agreement</p>	RFP Terms to continue
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**Amendments and Clarifications to the RFP for Conduct of IS Audit / VAPT on adhoc basis (Bid Ref No.: IB/I&A/RFP/01/2023-24 date 08.09.2023)**

**ADDENDUM-I dated 22/09/2023**

SN	Reference	Description	Query details	Bank Reply
130	Pg.No 2 Para No Retention of copies	Additional Clauses for inclusion	On payment of all bidder fees in connection with the Contract, Tenderer shall obtain a non-exclusive license to use within its internal business, subject to the other provisions of this Contract, any Deliverables or work product for the purpose for which the Deliverables or work product were supplied. bidder retains all rights in the Deliverables and work product, and in any software, materials, know-how and/or methodologies that bidder may use or develop in connection with the Contract.	RFP Terms to continue



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SN	Reference	Description	Query details	Bank Reply
131	Pg.No 3 Para No Non-Exclusivity	Additional Clauses for inclusion	It is agreed that the services are being rendered on a non-exclusive basis and the bidder shall have the right to pursue business opportunities that it may in its sole discretion deem appropriate.	RFP Terms to continue
132	Pg.No Para No		1. Can the EMD be reduced to 5 Lakhs.	Reference is invited to Addendum 1 to RFP
133	Pg.No 23 Para No 9.1 Eligibility Criteria	S. No. 2: The applicant should have been included in the latest panel of Information Systems Auditors maintained by Computer Emergency & Response Team, India [CERT-IN] as on date of RFP.	Request to kindly modify clause as below: The bidder must be empanelled by CERT-In/ Empanelled with India Bank Association for providing IT Security auditing service and the empanelment should be valid at the time of technical bid opening OR The bidder should be in process of empanellment with CERT-In as on date of release of RFP	Reference is invited to Addendum 1 to RFP

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SN	Reference	Description	Query details	Bank Reply
134	Pg.No 41 Para No 4th	Performance Security a) Within 15 days of issue of Work Order, the successful bidder shall furnish to the Bank the Performance Security equivalent to Rs.20,00,000/- (Rupees Twenty lakhs), in the form of a Bank Guarantee from a scheduled commercial Bank located in India, valid for 27 months (period of contract + 3 months) with further one month of claim period, in the format enclosed (Annexure-XIII).	Can the Performance Guarantee be reduced to 10 Lakhs.	Reference is invited to Addendum 1 to RFP